EXHIBIT

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KEITH VINCENT 1 having been first duly sworn by the Foreperson, 2 was examined and testified as follows: 3 EXAMINATION 4 BY MR. LEEDS: 5 Q. Good afternoon. Can you state your name, 6 please? 7 Officer Keith Vincent. 8 A. Q. Where do you work? 9 A. Auburn Correctional Facility. 10 What kind of work do you do for Auburn 11 Q. Correctional Facility? 12 A. I'm a correctional officer in general 13 population. 14 Q. And how long have you been a corrections 15 officer at Auburn? 16 A. I have been at Auburn a little over 11 17 18 years. Q. What level security is Auburn Correctional 19 F cility? 20 A. It's a maximum security prison. 21 Q. I want to take you back now to February 22 28th, 2016 and an incident involving Jkendrick Agee. Do 23 you, first off, recall this incident? 24 A. I do. 25

| | Q. Do you recall if you were working on |
|-----|--|
| 2 | Pebruary 28th, 2016? |
| 3 | A. Yes, I was working. |
| 4 | Q. The grand jury here is investigating |
| 5 | Mr. Agee for the offense at the prison, and I want you |
| 6 | to tell us a little bit about how you're involved in |
| . 7 | this case. Where were you working just prior to |
| 8 | becoming involved with Mr. Agee? |
| 9 | A. I was working in D block. |
| 10 | Q. What is D block in relation to the prison |
| 11 | itself? |
| 12 | A. It is a general housing block. |
| 13 | Q. What kind of job did you have on D block at |
| 14 | that point? |
| 15 | A. I was the third officer I think in the |
| 16 | block. |
| 17 | Q. What does that mean? |
| 18 | A. We have a first officer that runs the desk, |
| 19 | and we have two other officers, a second officer and |
| 20 | third officer. We do primarily all the rounds in the |
| 21 | block, let in, let out for chow runs, yard runs, that |
| 22 | kind of stuff, kind of general running of the block is |
| 23 | what we do every day. |
| 24 | Q. Was Jkendrick Agee an inmate at the prison |

at the time?

A. Yes, he was.

Q. And he was in one of those blocks or a cell that you were responsible for?

A. Yes, he was.

- Q. Explain a little bit about what happened that led to the incident and the unusual report -- unusual incident report with Mr. Agee?
- A. We were running keep lock recreation at the time. During that period that is when an inmate is actually confined to the cell. He comes out of the cell for one hour of recreation for the day. He's confined to the cell for some sort of a disciplinary reason.
 - Q. And that's what keep lock is essentially?
 - A. Right.
- Q. They have to be locked in, but they're allowed to go out for one hour of recreation?
- 17 A. Yes.
 - Q. Continue.
 - A. Upon that, when we go to the cells, there's two officers that go in front of the cells. They got the cell open, they bring the inmate, they pat frisk the inmate. I work on the front side of the company. As the inmate comes off, after that then we proceed to use a metal detector, a wand, wand the inmate to make sure that there wasn't anything we missed during the pat

Keith Vincent - by Mr. Leeds 7 1 frisk. 2 Are the inmates I guess escorted one at a 3 time down to your area? Yes, sir. They're escorted one at a time. 5 Q. What happened when you were doing the 6 security wand, the magnetic reader wand with Mr. Agee? 7 As I was wanding Mr. Agee, the wand kept signaling in his right front waistband area. 8 Q. What did you do in response to this? A. Actually paid closer attention, went to and 10 researched that area, at which time is when I found the 11 actual weapon, the razor blade type weapon. 12 Q. Did you perform any kind of search or pat 13 14 frisk on Mr. Agee? 15 A. Well, at that time yes. I went through and patted down his front waistband area and I actually 16 rolled the waistband down. That's when the weapon actually was found. Q. I'm going to hand you what we have marked here as Grand Jury Exhibit Number 1. Can you identify that? A. Yes. That would be the razor type weapon that was taken off of him that day.

Q. In looking at that, does that appear changed

or altered in any way from the item that you took out of

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| | Mr. Agee's waistband at that point? |
|----|---|
| 2 | A. No, not at all. |
| 3 | Q. What I guess exactly is that item? |
| 4 | A. What it appears to be is actually the blade |
| 5 | from a state-issued razor blade. |
| 6 | Q. Has it been altered or modified in any way? |
| 7 | A. The blade was taken out of the actual |
| 8 | plastic razor blade itself. |
| 9 | Q. Does it have like any handle or sheath? |
| 10 | A. It has a black tape handle with a sheath on |
| 11 | it. |
| 12 | Q. Would the inmates be allowed to have |
| 13 | possession of a razor like that? |
| 14 | A. No, absolutely not. |
| 15 | Q. They wouldn't be issued the razor itself in |
| 16 | any way? |
| 17 | A. The actual razor itself, they get a razor |
| 18 | every Sunday, obviously for shaving. This is an altered |
| 19 | item, so it's actually removed the blade and |
| 20 | Q. What's the form that they're actually given |
| 21 | the razor in initially? |
| 22 | A. Just typically single bladed disposable |
| 23 | razor. |
| 24 | Q. And they are not allowed to alter that or |

break that or get that razor out in any way?

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| 1 | A. Not at all. |
|-----|--|
| . 2 | MR. LEEDS: I'm going to move to admit |
| 3 | Grand Jury Exhibit Number 1 at this time. |
| 4 | THE FOREPERSON: So admitted. |
| 5 | (Grand Jury Exhibit Number 1 was |
| 6 | received in evidence.) |
| 7 | BY MR. LEEDS: |
| 8 | Q. Have you seen items like that inside the |
| 9 | prison before in your experience? |
| 10 | A. Yes. |
| 11 | Q. Are you familiar with the rules concerning |
| 12 | contraband inside the prison as well? |
| 13 | A. Fairly well. |
| 14 | Q. Would this item be considered contraband if |
| 15 | possessed by an inmate? |
| 16 | A. Absolutely. |
| 17 | Q. Would it be considered dangerous contraband? |
| 18 | A. Absolutely. |
| 19 | Q. What exactly makes it dangerous? |
| 20 | A. The fact that the purpose is either cutting |
| 21 | somebody, self-defenses or just to attack somebody and |
| 22 | actually slash them. Usually they prefer to slash each |
| 23 | other in the face for whatever reason. |
| 24 | Q. Have you seen inmates slashed with items |
| 25 | such as that before? |

| | To be a second by Mr. Leeds |
|----|--|
| | A. Very often and a lot. |
| 2 | Q. What kind of injuries do you see having |
| 3 | occurred as a result of things like this? |
| 4 | A. Again, they prefer to slash in the face. |
| 5 | I've seen ears cut off, cheeks completely laid open so |
| 6 | |
| 7 | Actually this one guy I saw it went all the way through |
| 8 | his cheek, hit his tongue and almost took off a chunk of |
| 9 | his tongue with razors. I've seen them sliced down the |
| 10 | neck, obviously trying to get to an artery or something |
| 11 | I've seen quite a bit of that stuff over the years. |
| 12 | Q. First of all, were any other inmates around |
| 13 | at the time that you removed this from Mr. Agee? |
| 14 | A. No. |
| 15 | Q. What did you do I guess with Mr. Agee first |
| 16 | after you recovered the item from his waistband? |
| 17 | A. I recovered the item, put it in my pocket, |
| 18 | and at that time I put handcuffs on him, mechanical |
| 19 | restraints. At that time I notified my area supervisor, |
| 20 | and he was escorted up to SHU-D, the maximum which is |
| 21 | our Special Housing Unit. |

Q. What did you do with the item that you removed from Mr. Agee?

A. From there I took that up front. I obviously filled out the proper paperwork and this bag

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| 1 | and placed it in the evidence locker. |
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| 2 | MR. LEEDS: I have no further questions |
| 3 | for Officer Vincent. Any questions from the |
| 4 | grand jury at this point? Okay. You all |
| 5 | set. Thank you so much. |
| 6 | (The witness was excused.) |
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| | SHERRI GUZYLAK |
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| | 2 having been first duly sworn by the Foreperson, |
| | was examined and testified as follows: |
| | 4 EXAMINATION |
| | BY MR. LEEDS: |
| (| Q. Good afternoon. Can you state your name, |
| 7 | |
| 8 | A. Good afternoon. My name is Sherri Guzylak. |
| 9 | |
| 10 | A. At Auburn Correctional Facility. |
| 11 | Q. What kind of work do you do for Auburn |
| 12 | Correctional Facility? |
| . 13 | A. I'm the inmates records coordinator. |
| 14 | Q. What do you do as the inmate records |
| 15 | coordinator? |
| 16 | A. We house the inmates' legal folders, we |
| 17 | handle transportation, releases, warrants, court |
| 18 | appearances. |
| 19 | Q. How long have you been doing that specific |
| 20 | job? |
| 21 | A. Just under a year now. |
| 22 | Q. I'm going to hand you what we have marked |
| 23 | here as Grand Jury Exhibit Number 2. Can you identify |
| 24 | what that is? |
| 25 | A. Yes. This is an external movement history. |

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It shows movement from facility to facility for a particular inmate.

- Q. Does that movement history have a specific inmate listed on it?
 - A. Yes, it does. It's Jkendrick Agee.
- Q. Aside from the name, are the inmates
 didentified by any other format?
- A. Yes, they are. They're also given a department identification number.
 - Q. Is that on the form as well?
- 11 A. It is, yes.

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- 12 Q. That history form, is that made in the ordinary course of business for the Department of Corrections?
 - A. Yes, it is.
 - Q. Is it the regular course of business for the Department of Corrections to make and maintain a record such as the one like you're holding?
 - A. Yes, it is.
 - Q. Now, is the record, the history, made for each inmate that is within the Department of Corrections?
- 23 A. Yes, it is.
- Q. Is the record updated each time an inmate
 moves from facility to facility within the Department of

15 1 Corrections? Yes, that's correct. 3 MR. LEEDS: I'm going to move to admit Exhibit Number 2 at this time. 5 THE FOREPERSON: So admitted. 6 (Grand Jury Exhibit Number 2 was 7 received in evidence.) 8 BY MR. LEEDS: 9 Q. In looking at that form, was Jkendrick Agee an inmate at Auburn Correctional Facility on 10 11 February 28th, 2016? 12 A. Yes, he was. Q. Does it say where he would have been housed 13 14 around the 28th? A. It's on the other -- it shows him as being 15 16 at Auburn, correct. 17 Q. But it doesn't list internally where he goes 18 from place to place within Auburn? 19 Not on this particular report, it does not. A. Just that he's generally housed at Auburn? 0. In that particular facility at that time, A. correct. Next I'm going to hand you what we have 23 0. marked here as Grand Jury Exhibit Number 4. Can you identify what that is?

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16 1 Yes. This is an inmate rule book. A. 2 Q. Are all inmates given that rule book when 3 they come into the control of the Department of 4 Corrections? 5 Yes, they are. 6 Q. What exactly is a rule book? What does that 7 refer to? A. It's actually named standards of inmate 8 behavior. It's kind of a list of the dos and don'ts, how to conduct yourself within the prison walls. 10 Q. If an inmate were to lose or misplace their 11 rule book, would they have an opportunity to get another 12 13 copy of it? 14 A. Yes, they could. Q. Do all those rules apply to all inmates in 15 16 the Department of Corrections? 17 Yes, they do. Q. Do you give out or does the Department of 18 Corrections give out different rule books to different 19 20 types of inmates? 21 No. It's one standard book. Q. Is that the rule book that would have been 23 in effect in 2015, 2016?

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A.

Yes, it is.

Q. Does that rule book have any rules

1 concerning possession or use of contraband inside a
2 prison facility?
3 A. Yes, it does.

MR. LEEDS: First off, I'm going to move to admit at this time Grand Jury Exhibit Number 4 into evidence.

(Grand Jury Exhibit Number 4 was received in evidence.)

BY MR. LEEDS:

- Q. Now that it's admitted, I want to refer you to the rules concerning contraband. Do you see rule 113.10?
 - A. Yes, I do.
- Q. If you could read rule 113.10 for the grand jury?
- A. An inmate shall not make, possess, sell or exchange any item that may be classified as a weapon or dangerous instrument by description, use or appearance. A dangerous instrument is any instrument, article or substance, which, under the circumstances in which it is used, attempted to be used or threatened to be used is readily capable of causing bodily harm.
- Q. Now, in looking further at the rules concerning contraband, do you see any rule in there for possession of altered items?

18 1 Α. Yes, I do. 0. What's that rule? 3 A. That would be 113.11. If you could, could you read 113.11? 0. 5 Sure. An inmate shall not possess any authorized item that has been altered in any manner so 6 as to change its original intent and/or purpose. 7 8 Q. Now, does the contraband rule have other I guess types of contraband that would be considered in 9 the prison aside from what you've already mentioned? 10 11 Yes. There are other subdivisions as well. A. 12 Other subdivisions, okay. Does the Department of Corrections maintain any records or forms 13 to show that an inmate was actually given a handbook? 14 A. Yes. There is a receipt that is given to 15 him to sign at the same time the book is issued. 16 17 Q. I'm going to hand you what's been marked now as Grand Jury Exhibit Number 3. Can you identify that? 18 Yes. This is a rule book receipt. 19 A. Does that rule book receipt refer to a specific inmate? Yes, it does, to Jkendrick Agee. A. Is his department identification number on 0.

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there as well?

A. Yes, it is.

| 1 | Q. I | s that record made in the ordinary course |
|----|----------------|---|
| 2 | of business f | or the Department of Corrections? |
| 3 | A. Y | es, it is. |
| 4 | Q. I | s it the regular course of business to make |
| 5 | and maintain | records such as the rule book receipt that |
| 6 | you're holding | g? |
| 7 | A. Ye | es. |
| 8 | Q. Ar | nd is the rule book receipt made for each |
| 9 | of the inmates | s as they actually do receive their rule |
| 10 | book? | |
| 11 | A. Ye | es, that's correct. |
| 12 | Q. Is | that form signed then by the inmate as |
| 13 | well? | |
| 14 | A. Ye | s, it is. |
| 15 | Q. Is | the form or the rule book receipt dated |
| 16 | for when he re | ceived his rule book? |
| 17 | A. Ye | s. This one is dated July 7th, 2015. |
| 18 | | MR. LEEDS: I'm going to move to admit |
| 19 | Gr | and Jury Exhibit Number 3 at this time as |
| 20 | we | 11. |
| 21 | | THE FOREPERSON: So admitted. |
| 22 | | (Grand Jury Exhibit Number 3 was |
| 23 | rec | ceived in evidence.) |
| 24 | | MR. LEEDS: That's going to conclude my |
| 25 | que | estions for Ms. Guzylak. Any questions |

Sherri Guzylak - by Mr. Leeds 20 from the grand jury at this point? Okay. I will take all those back from you. You're all set. (The witness was excused.)

EXHIBIT

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Case 9:19-cv-00057-BKS-ATB Document 14-6 Filed 06/12/19 Page 19 of 22

| 1. NYSID No. 02697786L | 2. CJTN No. 67556250K | August Sand | 3. Case No. | 4. Ref. No. |
|---------------------------|--------------------------|---|--------------------------------------|-------------|
| 5. FBI No. 870489TC4 | 6. Arrest No. 699885 | 7. Agency E2 TROOP E - ZONE 2 NY1050100 | 6785685 8. Div/Precinct E211 . | 4a. |
| | | | | |

DEFENDANT INFORMATION

| AGEE, JKEN | ber and N | ame, Bldg. N | 0., Apt. N | 0. | | | | 13 / | Str. Carl | 71 | 1 | 1. Phon | e Number |
|--|-----------|--------------------|------------|----------|---------------------------|----------------|---|-------------------|-----------|-------------|------|-------------------------------|---------------|
| ACF INMATE - DIN#08B1474 15. Place of Birth | | | | | | | 13. City, State, Zip AUBURN NY 1302J | | | | | 14. Residence Status RESIDENT | |
| USA 16 | | | | 08/10/19 | | 17. Age | 18. Ger | Gender 19. Rac | | ace | | JIG.OII | 20. Ethnicity |
| 21. Skin | | 23. Wei 280lbs. | | 24. H | Izir | THEFT | 1 | BLACK 25. Eyes | | 26. Glasses | 27. | NOT HISPANIC Build | |
| 28. Marital Status 29. U.S. Citizen UNGLE (NEVER MARRIED) YES | | | | | litizen | 30. Citizen Of | | | NO | 120000 | RMAL | | |
| 11. Soc. Sec. No. 32. Education 121-78-4476 HIGH SCHOOL (ENTER 09 - 12) - | | | | 1 | 33. Religion 35. Employed | | | | | | | | |
| 34. Occupation ABORER | | | | | | - Ir | VONE | | | INMATE | | | |
| 6. Scars/Marks/ | Tattoos D | Description | | | _ | | | | | Part of | | | |

ARREST INFORMATION

| 37. Arresting Of | ATRICK | TPR 1132 | | 39. Assisti | ng Officer/ | | 10 | | | 41. Arre | | 42. Time |
|--|--------------------------------------|----------|---------------|-------------|-------------|--|---------------|-------|----------------------|---------------------------|---------|-----------------|
| 43. Location of A ACF INMATE - D | THE IN | 110 | 44. Juve | nile | 45. Condit | 03/09/2 | 2016 ndant | 09:30 | | | | |
| 48. Miranda NO | | | | | | | NO | | APPARENT | LY NORM | AL | |
| 52. Statements NONE 54. Search Warrant | | | | | | 55. | ID Proce | dure | | 50. Mirano | da Date | 51. Miranda Tim |
| 56. Arraign. Cou | rt Of IDT NIV | 0050511 | NO | | | NO | NE | | mala. 7 1 | | | |
| 58. Arraign Date 03/09/2016 | 2016 13.00 bill Froperty of Evidence | | | | | 57. Arraign. Judge PRESIDING 61a. Processed By | | | | | | |
| 61b. Disposition | | F | 10 | NO . | | | Status | | | | | (|
| 5. Bondsman | 66. Pho | to No. | 67. Arrest Ty | | | | | | | 64. Bail Amoun \$ 0.00 | | |
| 9. Arrest FOA | 70. Other | Agency | ARREST WA | RRANT | | | | | | | 68. W | arrant No. |
| 6. Return Court | | | | | | | | | 71. F/P Taken YES | | | |
| Date 79. Tim | me 80. Defendant/Case TOT Agency | | | | | | | | | 1 0 F 12 | | |
| | | | | | | | | | 80a. Office | er Name | 1000 | |
| Case TOT Time 82. Case TOT Date 43a. CTV Code AUBURN CITY 0601 | | | | | | | | | | | | |

Case 9:19-cv-00057-BKS-ATB Document 14-6 Filed 06/12/19 Page 20 of 22

| | INCID | ENT INFORMATION |
|-------------------------------------|---------------------------|---|
| | | 73, Offense Date/Time 74. No.Offender 75. No.Victims in Cident Case No. |
| 62. Incident No. | 72. Offense Location | 3/8/2016 15:49 |
| 6785685 | AUBURN CITY 0601 | FFENSE INFORMATION |
| PL 205.25 //V. B [86, Arresting Of | Section SUB CL CATDEG ATT | NAME OF OFFENSE |

EXHIBIT

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AUBURN CITY COURT

| THE RESERVE OF THE PARTY OF THE | | |
|--|--|--|
| STATE OF NEW YORK | | DOCKET # 16-0258 |
| COUNTY OF CAYUGA | | CR# |
| | | |
| TUP DEODI E OF MUS | | |
| -VS | STATE OF NEW YORK | |
| JENDRIC AGER | | WARRANT OF ARREST |
| AUBURN CORRECTION | AT. | |
| AUBURN NY 13021 | | |
| | | |
| DOB: 08-10-1991 M | ale | |
| In the Name of the | | |
| In the Name of the | e People of the State | of New York |
| To any Police Offi York (or Peace Off | icer of the City of Au | aburn or County of Cayuga, or State of Ne |
| | | |
| accuracy chie 20 de | strument having been lay of February, 201 mitted the offense(s) | aid before this court charging that on one at the City of Auburn, New York, the of: |
| FIRST CHARGE: PL-2 | 05.25 -DF- | 1-CONTRABAND TO PRISONERS-1 |
| YOU ARE, THEREFO or her before this | RE COMMANDED to arres court at 157 Genesee | t the above named defendant and bring him Street in the City of Auburn, New York. |
| Bail is set in the | | B.1 (085) |
| This 8 day of Marc | h 2016 | |
| *at Auburn, Cayuga | County New York | 100 |
| 47 | - Total of the state of the sta | HON. DAVID B. THURSTON |
| | | JUDGE AUBURN CITY COURT |
| By virtue of the w | ithin warrant, I have | arrested the within named JKENDRIC ACRE |
| on the day of | 20 at | in the County of Cayuga NV and now |
| have before the mag | distrate by whom this | warrant was issued/or before |
| requived me to do s | a magistrate in | said County of Cayuga, he/she having |
| Dated: | Signed 0 | fficer |
| THE RESERVE | | itle |
| I | of | of the NV do hereby |
| certify, that I hav | e this day of | 20 admitted the within named |
| the within warrant | ball for his/her app | earance before the magistrate named in |
| Dates * | and taken bail from | |
| | | Judge/Justice ty of Cayuga New York. Dated at Auburn |
| NY this day | of 20 | Judge/Tugtige of |
| Auburn City Court | | Judge/Justice of |
| ******** | +++++++++++++++++++ | +++++++++++++++++++++++++++++++++++++++ |
| Bereildant Rudorsemen | nt | |
| , JKENDRIC AGEE | the defendant named i | in this warrant, have been informed that |
| Was arrested to | appear before a local | criminal court of the County in which |
| released on my own | recognizance or having | iga, NY for the purpose of being bail fixed. I do not desire to be |
| rought before such | court in such county. | part liked. I do not desire to be |
| | | |
| PLSec 180 | | Signature of defendant |
| France Tan | | |